

**POLITICALLY EXPOSED PERSON (PEP) /
HEAD OF INTERNATIONAL ORGANIZATION (HIO) FORM**700 - 1111 West Georgia Street
Vancouver, BC, Canada V6E 4T6
604.605.4199 Toll Free 1.877.787.2330**1. APPLICANT INFORMATION****Note:** Please complete one form for each PEP and/or HIO position.Select One: ☐ Applicant ☐ Joint Applicant

Name (First, Initial, Last)**2. PEP or HIO DETAILS**

Please review the definitions of a PEP/HIO, their family members and close associates as defined on page 2, then provide the details below.

1. Who holds/held the PEP/HIO position?

Name (First, Initial, Last)**2. What is the relationship of the person named in question #1 above to the applicant/joint applicant:**

- | | |
|--|---|
| <input type="radio"/> Self | <input type="radio"/> Mother or father |
| <input type="radio"/> Spouse or common-law partner | <input type="radio"/> Brother, sister, half-brother or half-sister |
| <input type="radio"/> Ex-spouse or Ex-common-law partner | <input type="radio"/> Spouse's or common-law partner's mother or father |
| <input type="radio"/> Child | <input type="radio"/> Close associate (provide details): _____ |

3. Country where the position was held: _____ **Title of position held:** _____

Government or name of organization the position held with: _____

4. Time period that the position was held: From (dd/mm/yyyy): _____ To (dd/mm/yyyy): _____**3. SOURCE OF FUNDS**Please provide details as to the **source of funds** that are or are expected to be deposited in the account (select all that apply):

- | | | |
|--|---|--|
| <input type="checkbox"/> Savings accumulated through employment income | <input type="checkbox"/> Retirement income | <input type="checkbox"/> Social Assistance / unemployment benefits |
| <input type="checkbox"/> Real estate investment income | <input type="checkbox"/> Securities investment income | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Gift (specify from whom): _____ | <input type="checkbox"/> Other (specify): _____ | |

4. SOURCE OF WEALTHPlease provide details as to the **source of your accumulated wealth** (select all that apply):

- | | | |
|--|---|--------------------------------------|
| <input type="checkbox"/> Savings accumulated through employment income | <input type="checkbox"/> Spouse's employment income | |
| <input type="checkbox"/> Real estate investments | <input type="checkbox"/> Securities investments | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Other (specify): _____ | | |

5. ACKNOWLEDGMENT

By signing and dating below, I acknowledge, agree and consent to all of the foregoing and confirm that the information I have provided is correct.

x _____
Applicant Signature Date (dd/mm/yyyy)

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7. PEP AND HIO DEFINITIONS

The definition of PEP and HIO also includes the following family members:

- a PEP or HIO's mother or father;
- a PEP or HIO's biological or adoptive child;
- a PEP or HIO's spouse or common-law partner;
- a PEP or HIO's ex-spouse or ex-common-law partner;
- the mother or father of a PEP or HIO's spouse or common-law partner; and
- a PEP or HIO's brother, sister, half-brother or half-sister.

A foreign PEP means a person who holds or has held one of the following offices or positions in or on behalf of a foreign state:

- head of state or head of government;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador or attaché or counselor of an ambassador;
- military officer with rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of supreme court, constitutional court or other court of last resort; or
- leader or president of a political party represented in a legislature

A HIO means a person who holds, or has held within the last 5 years, the following offices or positions:

- the head of an international organization* established by the governments of states; or;
- the head of an institution established by an international organization*

* an international organization is an organization set up by the governments of more than one country

The close associate of a PEP or HIO includes those:

- in a business partnership with, or beneficially owns or controls a business with a PEP or HIO;
- involved in financial transactions with a PEP or a HIO;
- in a romantic relationship with a PEP or HIO;
- who are a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

A domestic PEP means a person who holds, or has held within the last 5 years, the following offices or positions on behalf of the Canadian federal government, a Canadian provincial government, or a Canadian municipal government:

- Governor General, lieutenant governor or head of government;
- member of the Senate or House of Commons or member of a legislature;
- deputy minister or equivalent rank;
- ambassador or attaché or counselor of an ambassador;
- military officer with rank of general or above;
- president of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province;
- head of a government agency;
- judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada;
- leader or president of a political party represented in a legislature; or
- mayor or reeve of a city, town, village, or rural or metropolitan municipality.

8. INTERNAL USE ONLY

Date of Determination (dd/mm/yyyy)

Coding:

- | | | | | |
|--|----------------------------------|---------------------------------|--|---|
| <input type="radio"/> PEPF: PE | <input type="radio"/> PEDP: PD | <input type="radio"/> HIO: HO | <input type="radio"/> Close Associate PEDP: CD | <input type="radio"/> Close Associate HIO: CI |
| <input type="radio"/> Close Associate PEPF: CF | <input type="radio"/> PEDP H: PH | <input type="radio"/> HIO H: HH | <input type="radio"/> Close Associate PEDP H: CH | <input type="radio"/> Close Associate HIO H: CA |

Notes:

x

Senior Manager Name

Senior Manager Signature

Date (dd/mm/yyyy)