



700-1111 West Georgia Street  
Vancouver, BC V6E 4T6

Order Execution Account



ACCOUNT

IA #:

SAMPLE

DATE

1  
PAGE

**RECIPIENT:**

CLIENT NAME  
CLIENT ADDRESS

Recipient ID #:  
Payer's ID #:

Your IA:

Tax Year: 2023  
Form #: 1099-B  
OMB #: 0000-0000  
ADVISOR NAME  
(000) 000-0000

**PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

*This is important and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.*

SALE DATE	ACQ. DATE	CUSIP	QTY.	DESCRIPTION	SYMBOL	PROCEEDS (less comm)	COST	FED TAX	TERM	NON COV



# SAMPLE

ACCOUNT

IA #:

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2  
PAGE

**RECIPIENT:**

CLIENT NAME  
CLIENT ADDRESS

Recipient ID #:  
Payer's ID #:

Your IA:

Tax Year: 2023  
Form #: 1099-B  
OMB #: 0000-0000  
ADVISOR NAME  
(000) 000-0000

**INSTRUCTIONS FOR RECIPIENT:**

- Brokers and barter exchanges must report proceeds from transactions to you and the IRS. This statement is used to report these proceeds to you.
- Account number – May show an account or other unique number the payer assigned to distinguish your account.
- Sale Date – Shows the settlement date of the sale or exchange.
- Acquisition Date – This Box may be blank if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security deleivered to close the short sale.
- CUSIP number – Shows the CUSIP (Committee on Uniform Security Identification Procedures ) number or other applicable identifying number.
- Proceeds – Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest (s ) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds.
- Federal Tax Withheld – Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your tax return as tax withheld.
- Term – Shows type of gain or loss.
- Non Covered – if this value is yes, the securities sold were noncovered securities and date of acquisition, cost, and type of gain may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Partner Client Care – 855-714-3900 or 604-714-3900