



Suite 1920, One Bentall Center
 505 Burrard Street
 Box 85
 Vancouver, BC V7X 1M6

Order Execution Account



2J0-C00B-0
ACCOUNT

1000
IA #:

09/12/18
DATE

1
PAGE

RECIPIENT:

MRS JANE DOE
 123 ANY ST
 ANYWHERE BC V0X 0L0

Recipient ID #: 699999999
 Payer's ID #: 999999999

Tax Year: 2018
 Form #: 1099-B
 OMB #: 1000-0000

Your IA: AWI STAFF
 (877) 742-2900

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

This is important and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALE DATE	ACQ. DATE	CUSIP	QTY.	DESCRIPTION	SYMBOL	PROCEEDS (less comm)	COST	FED TAX	TERM	NON COV
01/19/2018	11/30/2017	037833100	62	ORANGE INC	ORPL	11,079.56	10,766.96	0.00	SHORT	NO
03/07/2018	01/24/2018	038222105	178	APPLE MTRLS INC	AMT	10,352.26	9,905.98	0.00	SHORT	NO
05/04/2018	04/26/2018	30303M102	60	PLAYBOOK INC CL A	PB	10,652.88	9,681.48	0.00	SHORT	NO
05/09/2018	05/07/2018	30303M102	62	PLAYBOOK INC CL A	PB	11,089.48	10,723.72	0.00	SHORT	NO
07/10/2018	06/27/2018	30303M102	17	PLAYBOOK INC CL A	PB	3,428.48	3,332.21	0.00	SHORT	NO



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Your IA: FISI STAFF
 (77) 712-2000

INSTRUCTIONS FOR RECIPIENT:

- Brokers and barter exchanges must report proceeds from transactions to you and the IRS. This statement is used to report these proceeds to you.
- Account number – May show an account or other identifying number the payer assigned to distinguish your account.
- Sale Date – Shows the settlement date of the sale or exchange.
- Acquisition Date – This Box may be blank if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security deleivered to close the short sale.
- CUSIP number – Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.
- Proceeds – Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest (s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds.
- Federal Tax Withheld – Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your tax return as tax withheld.
- Term – Shows type of gain or loss.
- Non Covered – if this value is yes, the securities sold were noncovered securities and date of acquisition, cost, and type of gain may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Partner Client Care – 855-714-3900 or 604-714-3900